

06-01-01

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Customer No. 000959

Case Docket No. KLW-001

THE COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

jc839 U.S. PTO
05/30/01

jc903 U.S. PTO
09/870535
05/30/01

"Express Mail" Mailing Label Number EL 848028530 USDate of Deposit May 30, 2001

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Larry Taylor
Signature

Larry Taylor

Please Print Name of Person Signing

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Daniel ORTEGA GONZALEZ and Harm van WEERDEN**For: **ARRAYED WAVEGUIDE GRATING WITH REDUCED CROSSTALK**

Enclosed are:

- ☐ This is a request for filing a ☐ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. _____ filed on _____ entitled _____.
- ☒ 11 pages of specification, 4 pages of claims, 1 pages of abstract.
- ☒ 4 sheets of drawings (Figs 1-6).
- ☒ A unexecuted Declaration, Petition and Power of Attorney.
- ☐ An assignment of the invention to _____. A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Other Preliminary Amendment

The filing fee has been calculated as shown below:

| | (Col. 1) | (Col. 2) | SMALL ENTITY | | | OTHER THAN SMALL ENTITY | |
|--|----------------------|-----------|--------------|----------|----|-------------------------|----------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | OR | RATE | FEE |
| BASIC FEE | //////////////////// | | //////// | \$ 0 | OR | //////// | \$710.00 |
| TOTAL CLAIMS | 24 - 20 | = 4 | x 9= | \$ 0 | OR | x 18= | \$ 72.00 |
| INDEP. CLAIMS | 2 - 3 | = 0 | x 40 | \$ 0 | OR | x 80 | \$ |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED | | | +135 | \$ | OR | +270 | \$ |
| | | | TOTAL | \$391.00 | OR | TOTAL | \$782.00 |

* If the difference in Col. 2 is less than zero, enter "0" in Col. 2.

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- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

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The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

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Any patent application processing fees under 37 C.F.R. 1.17.



The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.



A check in the amount of \$ _____ to cover the recording of assignment documents is also enclosed.




Address all future communications (May only be completed by applicant, or attorney or agent of record) to Anthony A. Laurentano, Esq. at **Customer Number: 000959** whose address is:

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Date: May 30, 2001

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